

# POSTCARD RECEIPT

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1. Form PTO/SB/16 Provisional Application for Patent Cover Sheet;
2. Form PTO-2038 Credit Card Payment Form;
3. Provisional patent application entitled "Fiber-optic waveguides for evanescent optical coupling and methods of fabrication and use thereof", comprising 12 sheets of drawings and 54 pages of written specification including 92 claims filed in the name of applicants Peter C. Sercel, Guido Hunziker, and Robert B. Lee;
4. Form PTO/SB/09 Statement Claiming Small Entity Status -- Independent Inventor;
5. Form PTO/SB/10 Statement Claiming Small Entity Status -- Small Business Concern; and
6. This stamped self-addressed return postcard.

Jc714 U.S. PTO  
60/226147  
08/18/00

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1. Form PTO/SB/16 Provisional
2. Form PTO-2038 Credit Card
3. Provisional patent application and methods of fabrication i
4. Hunziker, and Robert B. Lee
5. Form PTO/SB/09 Statement
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FILING  
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PTO/SB/16 (2-98)


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## PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Peter C. Guido Robert B.		Sercel Hunziker Lee		Pasadena, CA Altadena, CA Pasadena, CA	
<input type="checkbox"/> Additional inventors are being named on the ___ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (280 characters max)					
FIBER-OPTIC WAVEGUIDES FOR EVANESCENT OPTICAL COUPLING AND METHODS OF FABRICATION AND USE THEREOF					
CORRESPONDENCE ADDRESS					
Direct all correspondence to:					
<input checked="" type="checkbox"/> Customer Number		23892		 23892	
OR Type Customer Number here					
<input type="checkbox"/> Firm or Individual Name		PATENT TRADEMARK OFFICE			
Address					
Address					
City		State		ZIP	
Country		Telephone		Fax	
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages		54		<input checked="" type="checkbox"/> Small Entity Statement	
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets		12		<input checked="" type="checkbox"/> Other (specify) - Form PTO-2038 - postcard receipt	
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)					
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees		Form PTO-2038 enclosed to cover filing fee		FILING FEE AMOUNT (\$)	
<input type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: _____				\$75.00	
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input type="checkbox"/> No.					
<input checked="" type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____ Office of Naval Research Contract No. N00014-00-1-0072 via CalTech Subcontract No. 1008921					

Respectfully submitted,

SIGNATURE  \_\_\_\_\_

David S. Alavi

TYPED or PRINTED NAME \_\_\_\_\_

541-346-4619

TELEPHONE \_\_\_\_\_

Date 08/18/00

REGISTRATION NO.

40,310

(if appropriate)

Docket Number: \_\_\_\_\_

### USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C., 20231.